

Downward transport of upper atmospheric NO_x into the polar stratosphere and lower mesosphere during the Antarctic 2003 and Arctic 2002/2003 winters

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[1] Pronounced upper stratospheric and mesospheric NO_x enhancements were measured by the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) in the Southern Hemisphere (SH) polar vortex from May to August 2003, reaching average abundances of 60 ppbv at 50–60 km in July. Peak mixing ratios of around 200 ppbv were measured in the polar night, representing the highest values ever recorded in the SH. The observed NO_x enhancements are attributed to production by electron precipitation in the upper mesosphere and lower thermosphere and subsequent descent with the meridional circulation. Using measured CH₄ and CO distributions as dynamic tracers, the downward transport of NO_x-rich air masses into the lower and middle stratosphere has been investigated. Upper atmospheric air with average NO_x abundances of 15 ppbv reached the 800–1000 K potential temperature region (around 30 km) by the end of July, where it remained until the final warming in late October. The NO_x descent was confined to the polar vortex, although significant mixing of tropical and NO_x-rich vortex air masses began already in August above 40 km. The amount of upper atmospheric NO_y measured inside of the SH vortex in late spring was 1.1 Gigamoles (GM) which is in good agreement with previous estimates from HALOE data. The global coverage of MIPAS data further allows to quantify the upper atmospheric NO_x dispersed into the stratosphere during August–September, estimated in 1.3 GM. The net deposition of NO_x into the stratosphere during the 2003 Antarctic winter (2.4 GM) makes up 9% of the N₂O oxidation source in the SH, twice as much as estimated in previous studies. NO_x and tracer distributions observed on several days during the NH winter 2002/2003 have been analyzed for comparison. We found that high planetary wave activity, resulting in the major midwinter warming had led to a rather inefficient NO_x downward transport with negligible deposition of NO_x into the lower and middle stratosphere.

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1. Introduction

[2] Nitrogen oxides, NO_x = NO + NO₂, are the major drivers of catalytic ozone loss in the middle stratosphere. In this altitude region, NO_x forms a pronounced layer peaking around 35–40 km with volume mixing ratios (VMRs) of typically 10–17 ppbv. The major chemical source for stratospheric NO_x is oxidation of N₂O injected from the troposphere in the tropics. A second NO_x layer exists in the thermosphere and is produced by dissociation of molecular nitrogen by solar photons and energetic particles, in particular auroral and precipitating electrons from the outer

trapping region of the magnetosphere, and subsequent reaction of N(⁴S,²D) with oxygen to form NO. Depending on solar and geomagnetic activity, maximum thermospheric NO VMRs can vary from 100 up to 2000 ppmv, which, in terms of number densities, becomes comparable to stratospheric NO_x abundances. Both stratospheric and thermospheric NO_x layers are normally separated by a mesospheric minimum caused by reactions



which reduce the chemical lifetime of NO to only a few days.

[3] In the absence of sunlight during polar winter, however, large amounts of upper atmospheric NO_x can be transported down to the mesosphere and stratosphere by the meridional circulation without being photochemically destroyed. This mechanism was already proposed by Solomon *et al.* [1982] and Frederick and Orsini [1982]. Once transported down to the stratosphere, NO_x is photochemically stable and may thus contribute to the stratospheric NO_y budget and hence to the global O₃ variability [Siskind, 2000]. Enhanced upper stratospheric and mesospheric NO₂ reaching levels of about 175 ppbv at 70 km at polar night conditions was measured by the Limb Infrared Monitor of the Stratosphere (LIMS) instrument [Russell *et al.*, 1988] during the 1978/1979 winter in the Northern Hemisphere (NH), representing the first experimental evidence for downward transport of thermospheric NO_x. By correlating CH₄, NO, and NO₂ measured by the Halogen Occultation Experiment (HALOE) experiment on the Upper Atmospheric Research Satellite (UARS), Siskind *et al.* [2000] clearly demonstrated the existence of NO_x-rich upper atmospheric air in the Southern Hemisphere (SH) polar winter stratosphere during 1992–1996. Similar observations were made by the Atmospheric Trace Molecule Spectroscopy (ATMOS) experiment [Rinsland *et al.*, 1999] and the Polar Ozone and Aerosol Measurement (POAM II) Instrument [Randall *et al.*, 1998] for different NH and SH winters. All measurements suggest that the NO_x descent is well confined within the polar vortex. In various model studies and comparisons to NO_x data from HALOE and the Stratospheric Aerosol and Gas experiment (SAGE II), Callis *et al.* [1998a, 1998b, 2001, 2002] investigated the role of electron precipitation from the outer trapping region of the magnetosphere as observed aboard TIROS spacecraft on the polar winter descent of NO_x. They identified this production mechanism as the dominant NO_x source in the polar upper atmosphere and found a significant modulation of stratospheric NO_y and O₃ by electron precipitation within the solar cycle. Randall *et al.* [1998] and Siskind *et al.* [2000] have shown that interannual variations of the NO_x enhancements in the polar winter stratosphere are closely linked to variations of the geomagnetic A_p index, suggesting that NO_x downward transport is predominantly controlled by the upper atmospheric source rather than by dynamical conditions. On the other hand, a pronounced hemispherical asymmetry was found in NO_x HALOE observations, with larger polar winter enhancements occurring in the SH [Siskind, 2000]. This hemispheric asymmetry could be reproduced by 2-D chemical transport model (CTM) calculations when including a larger gravity wave drag in the SH and enhanced planetary wave forcing in the NH which demonstrates the important role of dynamics [Siskind *et al.*, 1997]. However, the CTM model failed to reproduce mesospheric NO enhancements measured by HALOE at latitudes as far equatorward as 30°–40° and it overestimated the net deposition of NO_x in the stratosphere. Both limitations were attributed to an underestimation of horizontal mixing in the lower mesosphere. Thus, despite the numerous measurements confirming the downward transport of NO_x in polar winter, the overall understanding of

its mechanism is limited by the lack of NO_x data poleward of 50° along the whole winter [Siskind, 2000].

[4] A local source of mesospheric and upper stratospheric NO_x is highly energetic solar protons (>1 MeV) ejected during strong solar storms and penetrating into the Earth's middle atmosphere in the polar regions. During the July 2000 SPE, NO_x enhancements of 50–100 ppbv were detected by HALOE at 65–70°N in the 55–70 km altitude range [Jackman *et al.*, 2001]. In October and November 2003, an unusually powerful solar proton event (SPE) led to local NO_x enhancements reaching 180 ppbv in the North polar upper stratosphere as measured by the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) experiment [López-Puertas *et al.*, 2005]. In principle, SPE-induced NO_x production takes place in both hemispheres with a similar magnitude. However, the impact on stratospheric ozone chemistry is more severe in the winter hemisphere because of the longer photochemical lifetime of NO_x and favorable dynamical conditions. López-Puertas *et al.* [2005] have reported significant NO_x-induced O₃ depletion after the October–November 2003 SPE in the North polar stratosphere while in the Austral polar regions changes in O₃ and NO_x disappeared within a few days.

[5] In situ production of lower mesospheric and upper stratospheric NO_x is also possible by precipitating electrons from the outer trapping region with energies larger than 100 keV. Callis and Lambeth [1998] reported NO₂ enhancements of up to 140 ppbv around 60 km during the 1991 NH winter and the 1992 SH winter which had been attributed to such electron events.

[6] The Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) [Fischer and Oelhaf, 1996; European Space Agency, 2000] measures both NO_x constituents, NO and NO₂, as well as the dynamical tracers CH₄ and CO with global coverage and independent of illumination conditions. It is thus perfectly suited for studying polar winter NO_x enhancements in the mesosphere and upper stratosphere and its downward transport. Here, we analyze MIPAS measurements regarding NO_x, CH₄, and CO covering the winter poles between September 2002 and October 2003. In the Antarctic winter 2003, strong NO_x enhancements were observed which were larger than ever reported in the SH. In this study, we focus on this winter in order to assess the deposition of upper atmospheric NO_x into the stratosphere.

[7] In section 2, MIPAS observations and the data analysis method are described. In section 3, we discuss the NO_x and tracer distributions observed during the Antarctic winter 2003. Possible NO_x production mechanisms are assessed in section 4. The modulation of the NO_x descent by atmospheric dynamics is discussed in section 5. A quantitative estimation of the stratospheric deposition of upper atmospheric NO_x is given in section 6. Finally, we compare the observed NO_x descent in the Austral winter 2003 with the Arctic winter 2002/2003 in section 7.

2. MIPAS Observations and Data Analysis

[8] MIPAS is a limb emission Fourier transform spectrometer operating in the midinfrared spectral region. It has been designed for measurement of atmospheric trace species from space [European Space Agency, 2000; Fischer and Oelhaf, 1996]. It is part of the instrumentation of the

Table 1. Temporal Coverage of Available IMK/IAA Data

Day	Number of Orbits	Number of Observations
16 Nov. 2002	8	578
24 Nov. 2002	9	624
1 Jan. 2003	9	537
11 Jan. 2003	7	485
26 Jan. 2003	6	374
18 Feb. 2003	9	698
30 Mar. 2003	9	683
11 Apr. 2003	9	479
19 Apr. 2003	10	487
29 Apr. 2003	9	571
11 May 2003	10	645
17 May 2003	10	577
23 May 2003	10	593
6 June 2003	10	722
9 June 2003	10	721
20 June 2003	10	648
1 July 2003	10	711
11 July 2003	10	642
21 July 2003	10	576
1 Aug. 2003	10	722
11 Aug. 2003	10	721
21 Aug. 2003	10	659
31 Aug. 2003	10	702
22 Sep. 2003	10	724
30 Sep. 2003	10	708
10 Oct. 2003	9	708

Environmental Satellite (ENVISAT) which was launched into its Sun-synchronous polar orbit of 98.55° inclination at about 800 km altitude on 1 March 2002. MIPAS operated from July 2002 to March 2004 at full spectral resolution of 0.05 cm⁻¹ in terms of full width at half maximum (apodized with the strong *Norton and Beer* [1976] function). MIPAS observes the atmosphere during day and night with global coverage from pole to pole and thus provides trace gas distributions also during polar night. Within its standard observation mode, MIPAS covered the altitude range from 6 to 68 km with tangent altitudes from 6 to 42 km every 3 km, and further tangent altitudes at 47, 52, 60, and 68 km. MIPAS passes the equator in southerly direction at 10.00 am local time 14.3 times a day. During each orbit up to 72 limb scans are recorded. The Level-1b processing of the data, including processing from raw data to calibrated phase-corrected and geolocated radiance spectra, is performed by the European Space Agency (ESA) [Nett *et al.*, 1999].

[9] Data presented and discussed in this paper are vertical profiles of abundances of NO₂, NO, CH₄ and CO, which were retrieved with the dedicated scientific IMK-IAA data processor [von Clarmann *et al.*, 2003a, 2003b] from spectra (versions 4.55 to 4.59) recorded from November 2002 to October 2003. The temporal coverage of observations analyzed in this study are summarized in Table 1. Retrieval strategies considering nonlocal thermodynamic equilibrium (non-LTE) effects, error budget and altitude resolution for the species under investigation are reported by Funke *et al.* [2005] for NO and NO₂, Funke *et al.* [2001, 2003] for CO, and Glatthor *et al.* [2005] for CH₄. The estimated precision in terms of the quadratic sum of all random errors is better than 1 ppbv for NO, at an altitude resolution of 4–7 km. The accuracy, derived by quadratically adding the errors due to uncertainties in spectroscopic data, temperature, non-LTE related parameters,

and horizontal gradients to the measurement noise error, varies between 0.6 and 1.8 ppbv. The precision, accuracy, and altitude resolution of the NO₂ retrieval is estimated at 0.2–0.3 ppbv, 0.3–1.5 ppbv, and 3.5–6.5 km, respectively. For CO, precision, accuracy, and altitude resolution are 0.01–0.1 ppmv, 0.03–0.2 ppmv, and 4–15 km, respectively, and for CH₄ 0.015–0.14 ppmv, 0.11–0.24 ppmv, and 3–5 km, respectively.

[10] Since these episode-based scientific MIPAS-IMK-IAA data are available only for selected periods, we have also included the operational ESA NO₂ and CH₄ data (reprocessed data version 4.61/4.62) in our analysis. ESA data are retrieved with the operational retrieval algorithm as described by Ridolfi *et al.* [2000] and Carli *et al.* [2004]. These operational MIPAS data include neither NO nor CO; further, effects due to non-LTE are ignored in the retrieval of NO₂ there.

[11] In order to analyze the retrieved trace gas profiles in a dynamical context, potential vorticity data from ECMWF analysis has been used. The operational ECMWF medium-range forecasting system is based on a global atmospheric model with comprehensive parameterizations of physical processes, tightly coupled with an ocean wave model. Variables are represented at 60 levels in the vertical, ranging from the ground 0.1 hPa (around 65 km). Incremental four-dimensional variational data assimilation (4D-Var) is used to produce initial conditions, on the basis of in situ and remotely sensed observations of temperature and winds.

[12] Whenever the boundary of the polar vortex was relevant to our analysis, we have applied the vortex boundary criterion suggested by Nash *et al.* [1996] modified such that, the second indicator besides potential vorticity, was the first derivative of a horizontal tracer distribution instead of the wind field. Above the 2000 K potential temperature level we have used the CO field, while CH₄ was used below.

3. Temporal Evolution of NO_x and Tracer Distributions

[13] A comprehensive picture of the observed NO_x descent during Antarctic winter 2003 can be gained from the MIPAS NO₂ and CH₄ ESA operational data with quasi-continuous temporal coverage. Because of the rapid conversion of NO into NO₂ in the absence of sunlight, nighttime NO₂ is a reasonable proxy for total NO_x. However, it should be noted that because of the neglect of non-LTE effects in ESA processing, their NO₂ VMRs might be underestimated by up to 30% above 50 km [Funke *et al.*, 2005]. Figure 1 (top) shows nighttime NO₂ abundances at potential temperatures between 625 K and 3000 K (approximately 25–60 km) averaged on a daily basis within 60–90°S equivalent latitudes representing roughly the polar vortex in the March–November 2003 period. The NO₂ enhancement appeared at 3000 K in early May. It reached its maximum of 60 ppbv around 1 July. NO₂ then decreased continuously until it disappeared at the beginning of September. At lower altitudes, the enhancements appeared later and with damped intensity. Finally, a tongue of enhanced NO₂ reaches the 700–800 K level by the end of September. The observed NO₂ enhancements are correlated in time and altitude with decreased CH₄ abundances (Figure 1, bottom) confirming that the enhanced NO₂ was descending from the

upper atmosphere. However, the CH₄ decrease above 2500 K started in April while NO₂ began to build up in May. This was caused by efficient photochemical loss of NO₂ in the polar night area which was still small. The region of subsiding air with low CH₄ concentrations and a tongue of enhanced CH₄ can be seen, located above 1200 K in March and descending to 1200 K in May. CH₄ enhancements were generated by an active Dobson circulation with strong poleward transport of longitudinal air masses rich in CH₄ preceding the descent of NO₂. In August, CH₄ abundances started to decrease above 1500 K, indicating that isentropic transport in the polar region augmented in the upper stratosphere and mesosphere, probably at the same time when the vortex began to weaken. The increase of CH₄ in May went along with a pronounced decrease of NO₂. The steady increase of CH₄ in the polar region in mid-October is the result of the polar vortex rupture and the influx of midlatitude air masses rich in CH₄ and NO₂. Thus the NO₂ increase after 15 October around 1000 K is not related to upper atmospheric NO_x. The instantaneous NO₂ enhancement on 29 October at the right border of

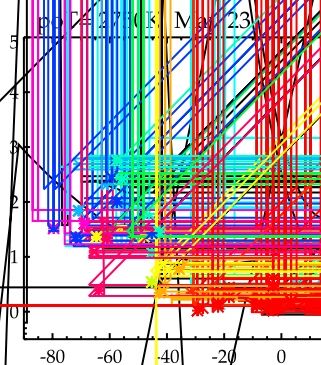
Figure 1 (top) was produced by the major SPE discussed in detail by López-Puertas *et al.* [2005].

[14] NO_x and tracer distributions have been analyzed in more detail using the IMK/IAA data of NO, NO₂, CO, and CH₄ for the available days during Antarctic winter 2003. Since the efficiency of NO_x downward transport depends on the extension and permeability of the polar vortex, the vortex boundaries have been determined from the ECMWF potential vorticity together with measured CH₄ and CO distributions, as described in section 2. Given that the temperature and wind measurements in the upper stratosphere assimilated in the ECMWF model are sparse, ECMWF wind and potential vorticity data represent an unverified forecast. Therefore consistency of these data has been checked with the measured tracer distributions. As an example, measured CO and CH₄ abundances and potential vorticity along equivalent latitude are shown in Figure 2 at the 2750 K isentrope for days 23 May, 9 June, and 21 August 2003. Pronounced horizontal gradients of all quantities can be seen at the vortex boundary close to 35°S in May and June, indicating a strong and isolated vortex. In the tracer distributions, there is no hint at transport out of the vortex. This changed in August, when horizontal gradients in the tracer abundances and potential vorticity were weak. There is evidence of mixing across the vortex boundary, then. This is in agreement with the temporal evolution of CH₄ ESA data (Figure 1), showing a CH₄ increase in August above 1500 K in the polar region. Also, the temporal evolution of the ECMWF zonal mean zonal winds at 2000 K potential temperature in the SH (Figure 3) suggests a strong and extended vortex in midwinter and a weakening of the vortex in mid-August. The reversal of the mean zonal winds from easterlies to westerlies indicate the final breakup of the vortex in October.

[15] Figure 4 (top) shows the temporal evolution of the vortex boundary position in equivalent latitudes. Until 15 May, the vortex edge was localized around 50–60°S. From June to the end of July, when the NO_x enhancements were most pronounced, the vortex above 1500 K was extended up to 30°S equivalent latitudes. With the weakening of the vortex in August, its latitudinal extension shrank again until its final breakup above 1500 K at the beginning of September. The apparent expansion of the polar vortex above 1700 K in September and October is produced by advection of the vortex tracers to the tropics after the final breakup.

[16] The temporal evolution of the total NO_x abundance averaged over the vortex area is presented in Figure 4 (middle). The pattern of the NO_x descent is very similar to that observed from the ESA NO₂ nighttime data. Maximum NO_x vortex averages of up to 60 ppbv were found at 3000 K in July. The vortex-averaged CO abundances (Figure 4, bottom) increased steadily until mid-September. The descent of the NO_x and CO tracers is related to the

enhanced mixing across the vortex boundary. Descent rates of 400 m per day are derived from the CO isolines above 1500 K in May which is qualitatively consistent with typical wintertime descent rates in this altitude range [Garcia and Solomon, 1985]. Lower descent rates are derived from CH₄ (250 m per day). However, CH₄

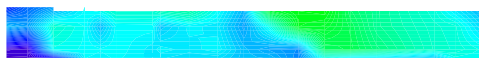


data could be affected by strong mixing below the subsidence zone leading to underestimated descent rates.

[17] The distribution of NO_x and CO inside the vortex at the 2500 K isentropic surface is shown in Figure 5 for days 9 June and 1 July along with ECMWF potential vorticity. High values of both NO_x and CO were confined to the vortex with sharp horizontal gradients at the edge. As expected, highest NO_x abundances were found in the polar night region with VMRs up to 8 times higher than in the illuminated part of the vortex. An opposite behavior is seen in the CO distributions which were more abundant in the outer part of the vortex, suggesting enhanced descent in the subpolar regions. Strong vertical transport close to the inner edge of the vortex boundary is also visible in Figure 2 (top), always showing the highest CO abundances close to the vortex boundary. Since the Eliassen-Palm flux divergence often peaks at midlatitudes in the SH [Garcia *et al.*, 1992], enhanced descent in the subpolar regions is not unusual. Potential vorticity had an isotropic distribution in the vortex boundary region and outside of the vortex. Inside the vortex, and most pronounced in the outer vortex region, however, potential vorticity was rather randomly distributed indicating strong turbulent mixing. This stirring process could have been responsible for the pronounced horizontal gradient in the NO_x VMR at the polar night terminator being slightly smoothed out on 1 July compared to 9 June. In fact, filaments of enhanced NO_x released from the polar night region showed up at around 150°E on both days. At

altitudes above 50 km where NO photolysis is efficient, turbulent mixing inside the vortex could have contributed significantly to an accelerated NO_x loss by transporting NO_x to illuminated regions where it could be more easily destroyed.

[18] Figure 6 shows the measured NO_x distribution over equivalent latitude as 10° running mean for 8 days between 11 April and 31 August along with the vortex boundary.



Single NO_x measurements and potential vorticity along equivalent latitude for potential temperature levels of 1000 K, 1750 K, and 2500 K are shown in Figure 7. As early as 11 April, a slight increase of 5 ppbv above 2500 K can be seen in the mean NO_x abundances close to the vortex

core (Figure 6). Single measurements reached here values of 14 ppbv (Figure 7). Mean NO_x abundances increased to 15–20 ppbv by the end of April.

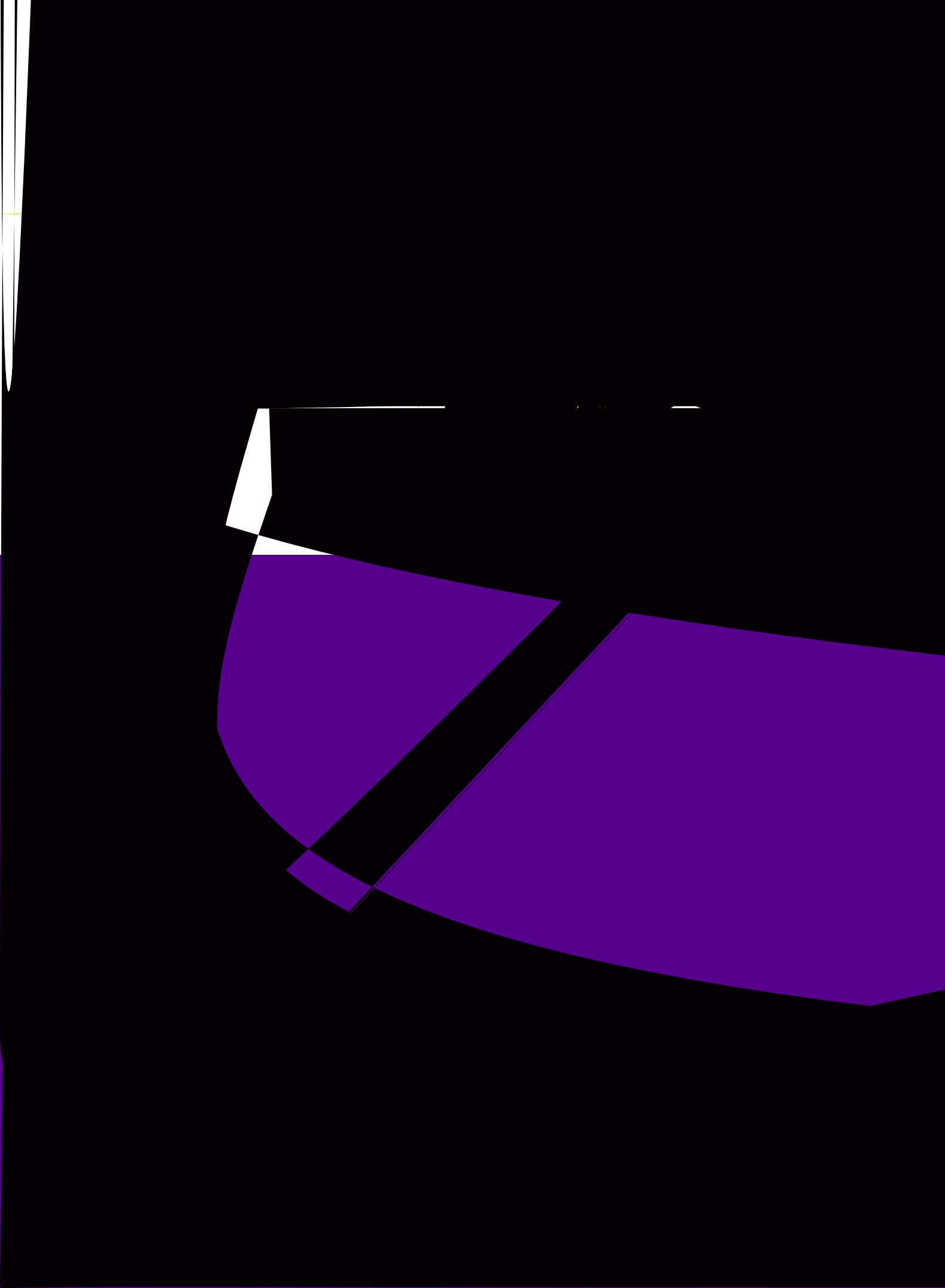
[19] On 17 May, apart of the enhancements at the vortex center, a second increase of the mean NO_x abundances shows up close to the vortex edge above 2000 K (Figure 6). This provides further evidence for a strong descent at the inner side of the vortex boundary. Highest NO_x abundances with mean values exceeding 150 ppbv were found on 9 June. Even at 1500 K, the mean NO_x VMR was around 100 ppbv. Peak VMRs of single measurements exceeded 200 ppbv (Figure 7). The abundance of NO_x decreased strongly toward the outer regions of the vortex as already seen in Figure 5. On 1 July, NO_x mean VMRs were smaller again, although more homogeneously distributed throughout the vortex. Since on this day the highest NO_x concentrations were still found in the polar night region (see Figure 5), the homogeneous distribution along equivalent latitudes demonstrates that potential vorticity was rather randomly distributed inside the vortex, i.e., vortex core and polar night region did not coincide. Enhanced turbulent mixing inside the vortex, smoothing out differences of the NO_x load inside and outside the polar night region, is evident in the distributions of single NO_x measurements on 1 July compared to 9 June above 1750 K (Figure 7). A high degree of confinement of the NO_x enhancements to the vortex area is clearly visible.

[20] On 21 July, and even more pronounced on 11 August, mean NO_x abundances were decreasing in the outer region of the vortex above 1500 K (see Figure 6). This could have been caused by either photochemical loss or by dilution due to NO_x -poor tropical air brought into the vortex. The first possibility seems to be unlikely since NO_x depletion was not more pronounced at higher altitudes, as one would expect in the case of photochemical loss. Furthermore, as shown in section 6, photochemical NO_x loss rates inside the vortex were in the order of 1% per day, much less than required to explain the observed decrease. The observed NO_x depletion is thus more likely caused by mixing across the vortex boundary. In the 1000–1500 K region, a tongue of enhanced NO_x moving out of the vortex might hint at a NO_x outflow on these two days. A flow of NO_x -rich upper atmospheric air out of the vortex at 1750 K and 2500 K can also be observed on 21 August in the bottommost plot of Figure 7.

[21] On 31 August, the pronounced horizontal gradients in the NO_x distribution at the vortex edge had disappeared above 1500 K indicating that the vortex was seriously weakened and did not act as a transport barrier anymore. Mean NO_x abundances above 1500 K decreased to approximately 10 ppbv which is close to the mixing ratio expected under undisturbed conditions. Below 1500 K, however, NO_x enhancements persisted and were confined to the vortex.

4. NO_x Sources

[22] In this section, possible sources for the NO_x enhancements in the Antarctic winter 2003 are discussed. It is of particular importance to clarify if in situ production due to energetic particle precipitation had contributed to the observed enhancements or if the NO_x was mainly produced in



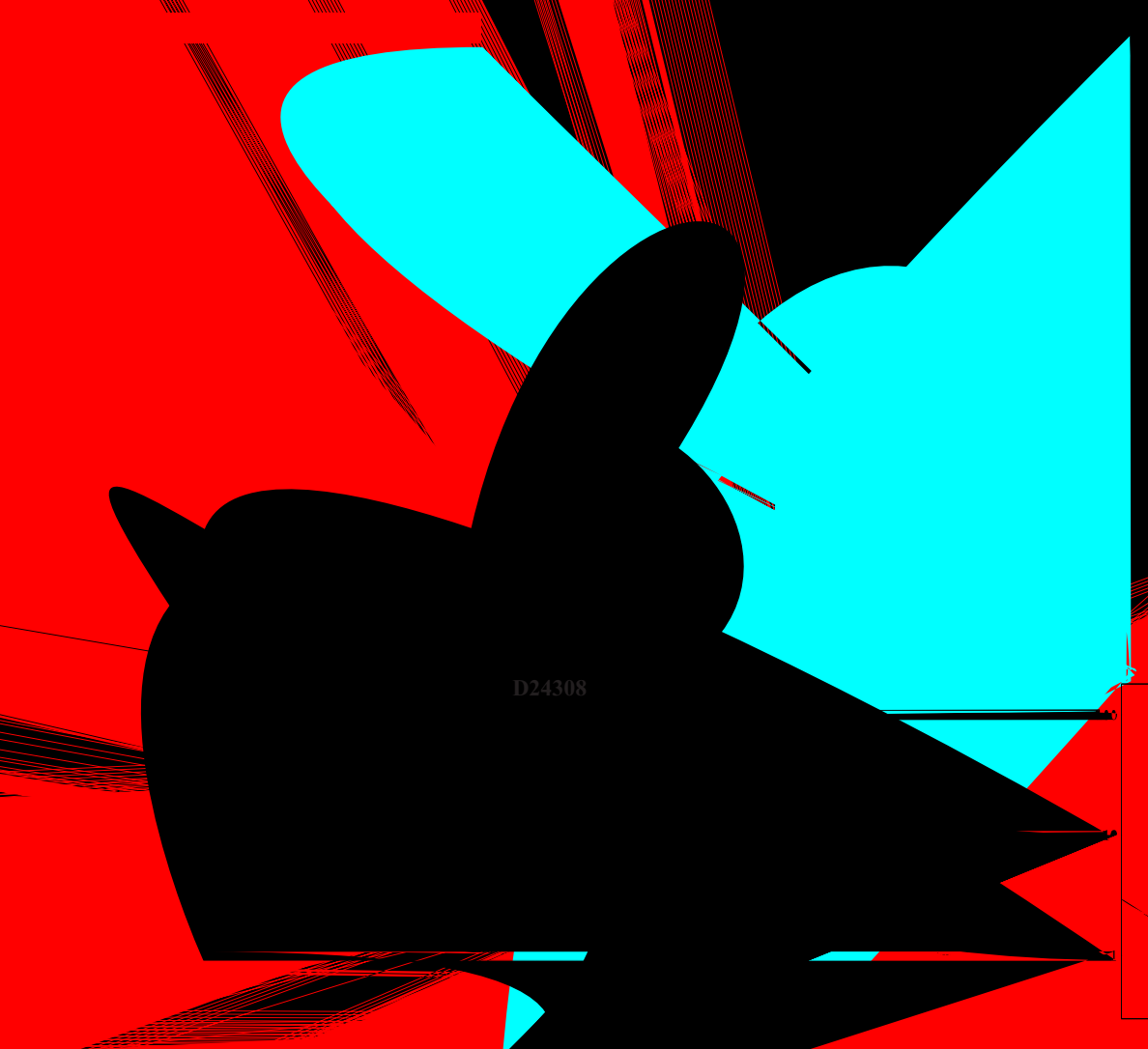


Figure 6. Potential temperature-equivalent latitude daily mean cross sections of NO_x VMR for the days 11 April, 29 April, 17 May, 9 June, 1 July, 21 July, 11 August, and 31 August 2003. The solid red line indicates the vortex edge. The vortex boundary region is shown by the dotted red lines around the edge. White regions indicate missing data. See Figure 4 for corresponding geometric altitudes.

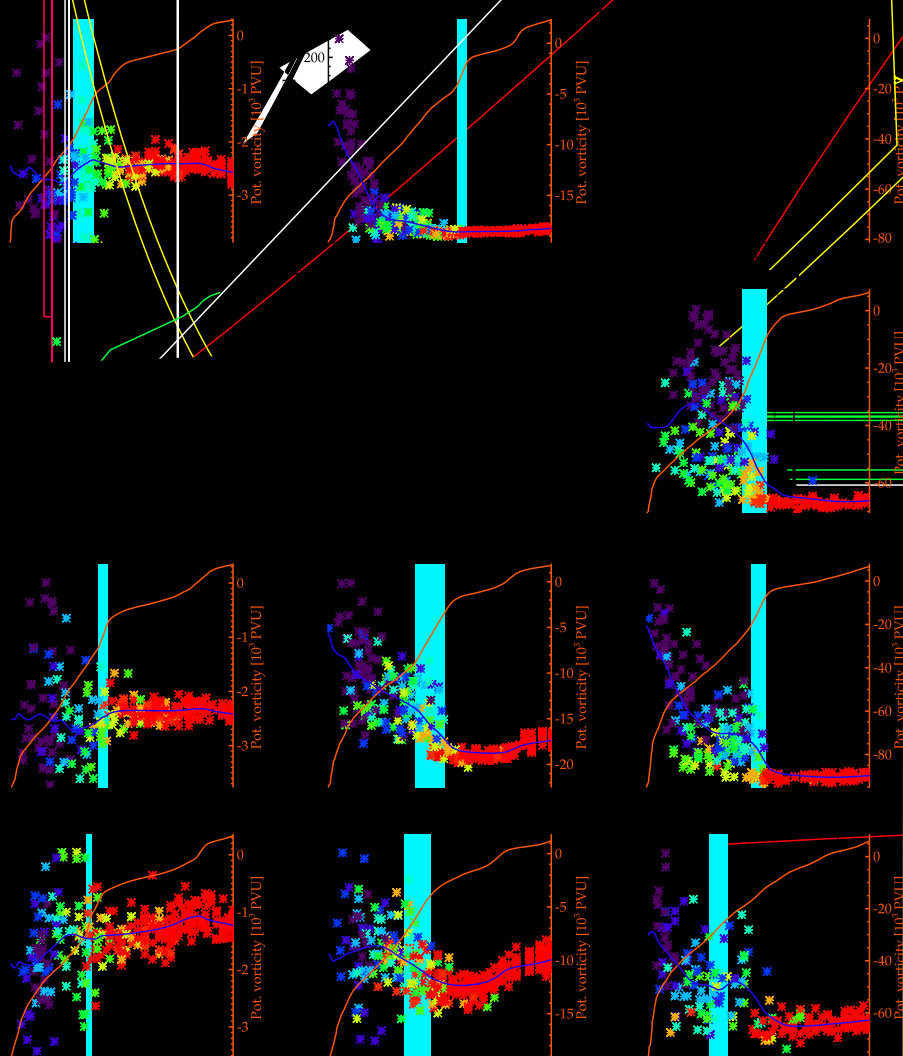


Figure 7

Figure 8. (top) Integral precipitating electron fluxes averaged over $L > 2.5$ and 60° – 90°

(bottom) Abundances of nighttime NO₂(solid line) and CH₄(shaded line) from ESA data averaged over 60° – 90° equivalent

on the time axis, corresponds to days with available IMK/IAA data. xRatio inside the vortex from IMK/IAA data (shaded dotted line with symbols)

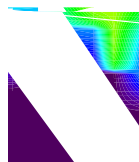
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 Solomon, 1985, 1994; Gar
 ities of 0.8–1.3 km per day at
 region in July at 70 km in agree
 while at 50 km, model vertical velocities
 300–500 m per day. We have derived
 downward velocities of 400 m per day at 45–55 km
 the temporal evolution of measured CO (see section 3)
 which is in agreement with CTM predictions. Above the
 stratopause, however, descent rates in the SH polar winter
 might be overestimated by models, as recent LIDAR
 temperature measurements over the South pole suggest
 [Pan and Gardner, 2003].

[26] Horizontal transport processes affect the NO_x flux
 into the stratosphere by redistributing NO_x from the polar
 night area to illuminated regions where it is photochemi-
 cally destroyed. The breaking of planetary waves accel-
 erates horizontal mixing, increases the extension and
 permeability of the polar vortex, and determines the timing
 of the spring warming and the breakdown of the vortex. By
 inclusion of planetary wave forcing in model calculations of
 the NO_x descent during a typical Antarctic winter, Siskind
 [2000] achieved good agreement with HALOE observations
 above 60 km. In the lower mesosphere (50–60 km),
 however, an additional mixing term was required to repro-
 duce the HALOE observations of high NO_x at midlatitudes.
 As discussed in section 3, MIPAS data give evidence for

in dec
 in the model
 (>30 ppbv) at these alti
 early spring warming in the upper
 sphere in August as observed in 2003 is not un
 averaged zonal winds from the CIRA-86 climatology
 50 km show a pronounced decrease from 90–100 m s⁻¹ at
 their maximum at 40°S in June/July to around 40–55 m s⁻¹
 in August/September. This agrees well with the temporal
 evolution of ECMWF zonal winds at 2000 K during June–
 September 2003 (Figure 3).

6. Stratospheric NO_x Deposition

[29] The quantification of the amount of NO_x brought
 down into the stratosphere before being photolyzed is
 extremely important in order to assess the impact of upper
 atmospheric NO_x on stratospheric ozone chemistry. This
 amount was calculated by Siskind [2000] for a typical



amounts modeled \
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 approximately 600 and
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 of around 11 ppbv at
 94. MIPAS NO_x shows
 1 early October 2003 at
 levels (1000 K). These
 ence in 2003 compared
 vious years. However,
 ir when integrating the
 e disagreement between
 periments can only be
 on of the upper atmo-
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 uly, the disagreement
 nation of transport out
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 ixing across the weak-
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 However, NO_x trans-
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evolution of the total
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 a offers an excellent
 evolution since global
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integrating measured NO_x densities inside the vortex on
 isentropic surfaces and subsequently integrating over alti-
 tudes between potential temperatures of 625 K to 1250 K,
 1250 K to 2000 K, and 2000 K to 3000 K, we have
 calculated total amounts of NO_x in three different regions.
 The lowest one, 625–1250 K, represents the part of the
 vortex which remains stable until mid-October. The lower
 boundary 625 K was chosen because CH₄ abundances at
 this level remain constant from July to October indicating
 that no further subsidence took place below. This altitude
 region is approximately the same as that chosen by Siskind
et al. [2000] in order to estimate the upper atmospheric NO_x
 content of the SH polar vortex in spring. The 1250–2000 K
 region represents the part of the vortex which is weakened
 already in August but photochemical loss is not significant.
 Finally, the highest region accounts for the remaining
 altitudes up to the highest levels which has been measured.

[31] In order to account for photochemical losses, daily
 mean photochemical loss rates L_d at different potential
 temperature levels have been derived by time integration
 of the NO loss rates due to photodissociation at the location
 of each observation within 24 hours and subsequently
 averaging these losses over the vortex area. Measurements
 were weighted with the number density at the considered
 altitude and with $\cos(\theta)$ to account for the variation of the
 representative surface of each measurement with latitude, θ .
 Solar zenith angle-dependent NO photolysis rates have
 been calculated using the parameterization of Minschwaner
 and Siskind [2003]. It has been assumed that each photol-
 ysis event destroys two NO molecules because of subse-
 quent recombination of N and NO (R2). The calculated
 daily mean loss rates are illustrated in Figure 10. At 3000 K,
 L_d varies between 0.001 and 0.01 day⁻¹ before August with
 a minimum in June. In the year, L_d increases up to 0.1
 day⁻¹ in October. At 1250 K, L_d stays below 0.002 day⁻¹,
 except for October. Below 1500 K, values of L_d are
 negligible small. The amount of photochemically destroyed
 NO_x accumulated until a given day within the altitude
 regions defined above was then calculated by integrating
 the product of L_d and the total NO_x amount from 11 April
 until the day under consideration. NO_x and NO_y amounts
 between the days with data availability of IMK/IAA prod-
 ucts have been linearly interpolated.

[32] To estimate the amount of upper atmospheric NO_x
 deposited in the middle stratosphere, the conversion of NO_x
 to NO_y reservoir gases has to be taken into account. We thus
 add to the NO_x amounts measured at 625–1250 K and
 1250–2000 K the amounts of HNO₃ and 2N₂O inferred
 from MIPAS data as described in a companion paper [Stiller
et al., 2005]. Only the minor species ClONO₂, BrONO₂,
 HNO₄, and NO₃, which do not significantly contribute in
 this altitude region, were not included in the NO_y budget.
 In order to remove from the measured NO_y amounts the
 contribution which has no upper atmospheric origin, we
 subtract the values measured on 11 April for the 1250–
 2000 K and the 2000–3000 K regions. For the 625–1250 K
 region, the amount of NO_y measured on 1 July has been
 subtracted, assuming that on this day the upper atmospheric
 NO_x had not reached yet this altitude region while back-
 ground NO_x and NO_y had already subsided. For NO_x, this
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August and 15 October 1997. At mid-August the water column was remaining anoxic and the biological oxygen demand was still high. Finally, on 15 October, the water column was oxygenated and the biological oxygen demand was low.

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data suggests that upper atmospheric changes in the SH stratospheric NO_x source is not a H_2O oxidation source. 4% of the ammonia vortex until the final warming in October, and 10% of the vortex before.

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the troposphere. The atmospheric NO_x and HO_x concentrations at different altitudes are determined by the NO_x photolysis and HO_x separation processes. The increasing altitude results in the fact that the altitude which led to an increase in NO_x at low altitudes. The NO_x amounts

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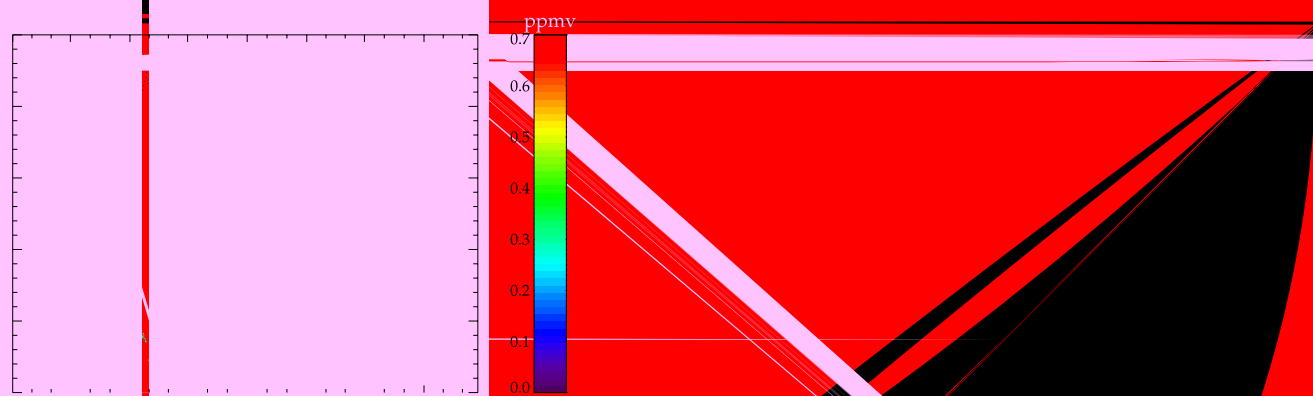
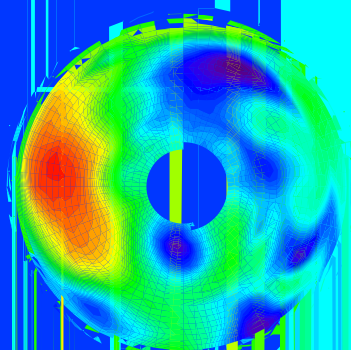
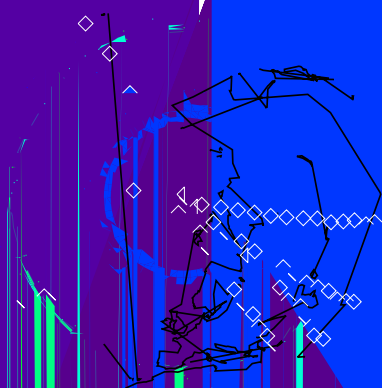


Figure 12. Temporal evolution of MIPAS (ESA data) (top) NO₂ and (bottom) CH₄ nighttime abundances averaged within 60°–90°N equivalent latitudes at isentropic surfaces from 625 K to 3000 K during Arctic winter 2002/2003. An area-weighting factor (cosine of latitude) has been applied. White regions indicate missing data or CH₄ abundances exceeding 0.7 ppmv.



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of NO_x and CO inside the vortex on the isentropic surface of 2500 K in June and July.

[47] The total amount of NO_x injected into stratosphere without being photochemically destroyed has been estimated to be 2.4 GM. 1.1 GM of this amount remained in the polar vortex until the final warming in mid-October, while 1.3 GM were transported out of the vortex by isentropic mixing before. The amount of NO_x remaining inside of the SH vortex in late spring had been previously estimated from HALOE data to 0.8–1.3 GM [Siskind *et al.*, 2000] which is in good agreement with our results. The portion of NO_x transported out of the vortex represents a new source of NO_x to the stratosphere which thus doubles the previous estimates of the stratospheric NO_x deposition derived from HALOE data. Upper atmospheric NO_x contributes then to the total NO_y source in the stratosphere with 9% of the dominant N₂O oxidation source.

[48] No evidence for an unusual dynamical situation was found in the 2003 SH winter from the derived descent rates and from the temporal evolution and extension of the polar vortex. Taking into account that the dynamical conditions in the SH are generally less variable than in the NH, the NO_x descent in the Austral winter 2003 might be representative for the SH from the dynamical point of view. If so, the polar winter descent of upper atmospheric NO_x can have a significant impact on the stratospheric NO_y budget on a longer timescale and might explain the underestimation of NO_x at its VMR peak height by chemical transport models [TOPOZ III, 2005]. Since electron precipitation from the outer trapping region and auroral events as upper atmospheric NO_x production mechanisms are more frequent in the declining phase of the solar cycle [Rangarajan and Barreto, 2000], a solar cycle modulation of the upper atmospheric NO_x source with a phase lag of 2–3 years is expected. Because of the dynamical coupling of the upper atmosphere and the stratosphere, this modulation will affect stratospheric NO_y and O₃. Model calculations performed by Callis *et al.* [2001] indicate that the amplitude of the solar cycle modulation by electron precipitation of the global ozone column from 25 to 45 km could be in the order of 2.5%, nearly twice as much as the amplitude due to UV effects.

[49] NO_x and tracer distributions observed during several days in the NH winter 2002/2003 have also been analyzed. We found that high planetary wave activity resulting in the major midwinter warming led to a rather inefficient NO_x downward transport with negligible deposition of NO_x in the lower and middle stratosphere. Since major warming events in midwinter are a typical feature of the Northern Hemisphere, an insignificant NO_x deposition due to unfavorable dynamical conditions, as observed in the 2002/2003 winter, is expected to happen frequently. On the other hand, dynamical conditions in the NH winters are much more variable, resulting thus in a higher variability of the NO_x deposition. The MIPAS observations of NO_x in the NH winter 2003/2004 [López-Puertas *et al.*, 2005] with record values of around 350 ppbv detected in the strong polar vortex during February and March, demonstrate how drastically dynamical conditions and NO_x deposition can vary within two successive years in the NH. Although it might not be excluded that the October/November 2003 SPEs have contributed to the extraordinary NO_x enhancements

detected in the upper stratosphere in early 2004, the bulk of descended NO_x was attributed to electron precipitation similar as in the Antarctic winter 2003 [López-Puertas *et al.*, 2005]. The net deposition of NO_x in the NH stratosphere in early 2004 is a topic of future work.

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